



3628

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/665,237
	Filing Date	09/18/2000
	First Named Inventor	Marvin T. Ling
	Art Unit	3628
	Examiner Name	Pedro R. Kanof
Total Number of Pages in This Submission	Attorney Docket Number	GTX-001 CIP1 31188-1030

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Raphael A. Valencia, Esq. (Reg. No. 43,216) Luce, Forward, Hamilton & Scripps LLP
Signature	
Date	December 12, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Sara R. Hart		
Signature		Date	December 12, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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GTX-001 CIP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling
Serial No. : 09/665,237
Filed : September 18, 2000
For : METHOD AND APPARATUS FOR CONDUCTING
ELECTRONIC COMMERCE TRANSACTIONS USING
ELECTRONIC TOKENS
Group Art Unit : 3628
Examiner : Pedro R. Kanof

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:


Transmitted herewith is a Supplemental Information Disclosure Statement in the
above-identified application. This Statement is submitted more than three months from the
application filing date, but before the mailing date of the first Office Action on the merits.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

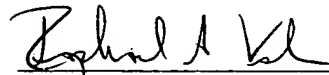
Date of Deposit: December 12, 2003

Signature:


Sara R. Hart

The Commissioner is hereby authorized to charge payment of any fees required in connection with the accompanying Information Disclosure Statement to Deposit Account No. 50-2298 in the name of Luce, Forward, Hamilton & Scripps LLP. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Raphael A. Valencia", is written over a horizontal line.

Raphael A. Valencia

Reg. No. 43,216

Attorney for Applicants

c/o Luce, Forward, Hamilton & Scripps, LLP
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Fax: 858.523.4326



Atty. Docket No. GTX-001 CIP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling
Serial No. : 09/665,237
Filed : September 18, 2000
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Group Art Unit : 3628
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby makes the documents identified on the enclosed form PTO/SB/08 (submitted in duplicate) of record in the above-identified application. A copy of the documents identified on the enclosed form PTO/SB/08 is submitted herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

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Substitute PTO/SB-08	Application Number	09/665,237
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	09/18/2000
	First Named Inventor	Marvin T. Ling
	Art Unit	3628
	Examiner Name	Pedro R. Kanof
	Atty. Docket Number	GTX-001 CIP1
Sheet 1 of 1		31188-1030

U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date	Name of Patentee	Identification of Relevant Information
	US 2003/0145234	07-31-2003	Stangel	
	US- 6,473,740 B2	10-29-2002	Cockrill <i>et al.</i>	

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date	Name of Patentee	Identification of Relevant Information
	WO 01/42946 A2	06-14-2001	Stangel	

Examiner Signature		Date Considered	
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.